



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

SECTION 2
#

Applicant: John F. Engelhardt et al.

Title: ADENO-ASSOCIATED VIRUS VECTORS AND USES THEREOF

Docket No.: 875.024US1

Serial No.: 09/684,554

Filed: October 6, 2000

Due Date: February 15, 2001

Examiner:

Group Art Unit: 1635

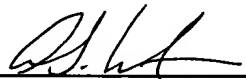
Attn: Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

We are transmitting herewith the attached:

- ☒ A return postcard.
- ☒ A check in the amount of \$65.00 to cover the Missing Parts Fee.
- ☒ A check in the amount of \$987.00 to cover the Basic Filing Fee and Additional Claims Fee.
- ☒ Communication Re: Missing Parts (1 pg.)
- ☒ A verified statement to establish small entity status under 37 C.F.R. 1.9 and 1.27.
- ☒ A signed Combined Declaration and Power of Attorney (4 pgs.).
- ☒ Notice to File Missing Parts (1pg.)

If not provided for in a separate paper filed herewith, please consider this a PETITION FOR EXTENSION OF TIME for sufficient number of months to enter these papers and please charge any additional required fees or credit overpayment to Deposit Account No. 19-0743.

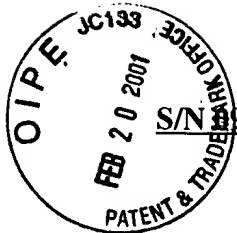
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938, Minneapolis, MN 55402 (612-373-6900)

By: 
Name: Ann S. Viksnins
Reg. No. 37,748
ASV:CMG:lat

CERTIFICATE UNDER 37 CFR 1.8: The undersigned hereby certifies that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail, in an envelope addressed to: Attn: Box Missing Parts, Commissioner for Patents, Washington, D.C. 20231, on this 15TH day of February, 2001.

LISA THORIN
Name

Lisa Thorin
Signature



S/N 09/684,554

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: John F. Engelhardt et al. Examiner:
Serial No.: 09/684,554 Group Art Unit: 1635
Filed: October 6, 2000 Docket: 875.024US1
Title: ADENO-ASSOCIATED VIRUS VECTORS AND USES THEREOF

COMMUNICATION RE: MISSING PARTS

Box Missing Parts
Commissioner for Patents
Washington, D.C. 20231

In response to the "Notice to File Missing Parts" (see enclosed copy), we submit the signed Combined Declaration and Power of Attorney along with a check in the amount of \$65.00 to cover the small entity surcharge and a check in the amount of \$987.00 to cover the small entity filing fee and additional claims fee.

Applicants assume the application is now in proper order and in condition for examination. Please direct any inquiries to the undersigned attorney at (612) 373-6959.

If necessary, please charge any additional fees or credit overpayment to Deposit Account No. 19-0743.

Respectfully submitted,

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to Assistant Commissioner of Patents, Washington, D.C. 20231, on February 15, 2001
Date of Deposit

JOHN F. ENGELHARDT ET AL.

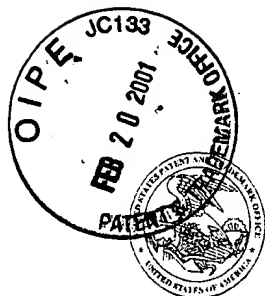
By their Representatives,

SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH, P.A.
P.O. Box 2938
Minneapolis, MN 55402
(612) 373-6959

LISA THORIN
Lisa Thorin
Date 15 February 2001

By Ann S. Viksnins

Ann S. Viksnins
Reg. No. 37,748
ASV:CMG:lat



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/684,554	10/06/2000	John F. Engelhardt	875.024US1

21186
SCHWEGMAN, LUNDBERG, WOESSNER & KLUTH
P.O. BOX 2938
MINNEAPOLIS, MN 55402

FORMALITIES LETTER



OC000000005622464

Date Mailed: 12/15/2000

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.
Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$1480.
 - \$810 for 45 total claims over 20.
 - \$400 for 5 independent claims over 3 .
 - \$270 for multiple dependent claim surcharge.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2320.

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

02/23/2001 WKORDHA 00000027 190743 09584554
01 FC:201 355.00 OP
02 FC:205 65.00 OP
03 FC:202 200.00 OP
04 FC:203 405.00 OP
05 FC:204 27.00 OP
108.00 CH